Preregistration of research studies

Agata Bochynska, PhD Open Research and Digital Scholarship Center University of Oslo Library @AgataBochynska agata.bochynska@ub.uio.no







Time and place: Mar. 7, 2024 10:00 AM – 11:00 AM, Zoom **Open and reproducible research: An overview** Learn about what open research is and how to make your own research more transparent and reproducible.



Time and place: Mar. 8, 2024 10:00 AM – 12:00 PM, Zoom **How to preregister research studies?** Learn about what preregistration is and how to preregister your own studies.

Open and reproducible research courses



Time and place: Mar. 11, 2024 10:00 AM – 11:00 AM, Zoom **How to make research reproducible?** Learn about tools and practices for more reproducible and effective research.

March 7th – 15th



Time and place: Mar. 14, 2024 10:00 AM – 11:30 AM, Zoom How to publish openly?

Learn about preprints, peer-review process, Open Access and how can you choose the best way to publish your results openly.



Time and place: Mar. 15, 2024 10:00 AM – 11:30 AM, Zoom How to make research more visible?

Learn about different tools, platforms and services to share your research and other contributions, and how you utilise them to make yourself and your work more visible to the academic community and the society at large.

Roadmap

- Why should we consider preregistration?
- What is preregistration?
- The benefits and challenges of preregistrations
- How to preregister research? A primer
- Let's try it on OSF!
- Q&A time!

Credibility of academic research is under debate

PLOS MEDICINE

OPEN ACCESS

ESSAY

Why Most Published Research Findings Are False

John P. A. Ioannidis

Published: August 30, 2005 • https://doi.org/10.1371/journal.pmed.0020124

nature

Published: 07 October 2015

How scientists fool themselves – and how they can stop

Regina Nuzzo

Nature 526, 182–185 (2015) Cite this article

1246 Accesses 152 Citations 2900 Altmetric Metrics

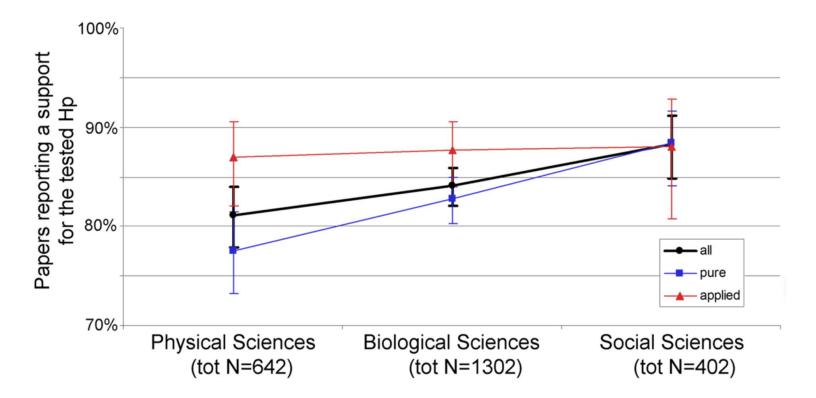
Negative or "boring" results are less likely to be published

(and more likely to end up in a file-drawer)



Negative or "boring" results are less likely to be published

(and more likely to end up in a file-drawer)



Fanelli (2010) PLOS ONE

Negative or "boring" results are less likely to be published

(and more likely to end up in a file-drawer)

Psychological Bulletin 1979, Vol. 86, No. 3, 638-641

The "File Drawer Problem" and Tolerance for Null Results

Robert Rosenthal Harvard University

For any given research area, one cannot tell how many studies have been conducted but never reported. The extreme view of the "file drawer problem" is that journals are filled with the 5% of the studies that show Type I errors, while the file drawers are filled with the 95% of the studies that show nonsignificant results. Quantitative procedures for computing the tolerance for filed and future null results are reported and illustrated, and the implications are discussed.

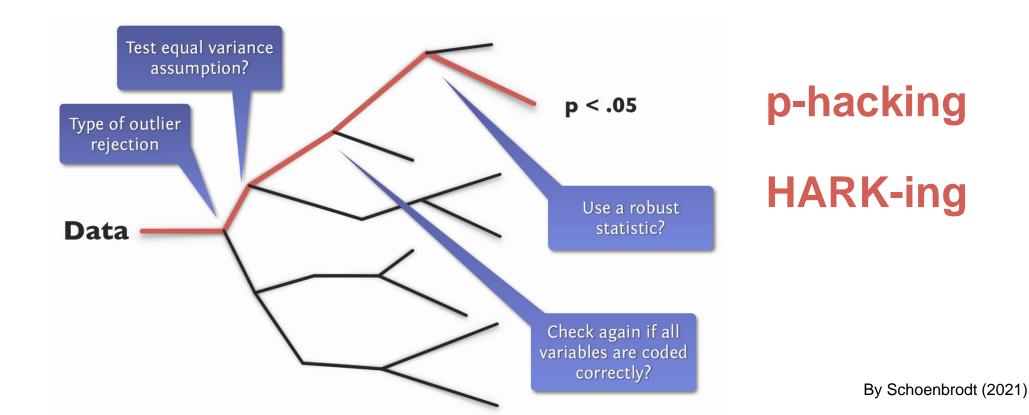
Researchers are biased and make arbitrary decisions

(also called "Researcher degrees of freedom")



Researchers are biased and make arbitrary decisions

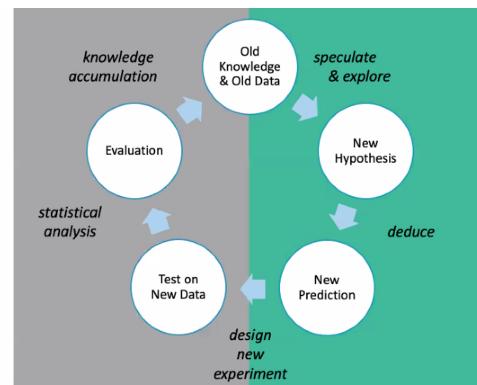
(also called "Researcher degrees of freedom")



Confirmatory and exploratory research is being mixed up

(and prediction is confused with post-diction)

The Statistical Context of Justification – Confirmatory Research



The Creative Context of Discovery – Exploratory Research

File-drawer problem and publication bias

Researcher degrees of freedom

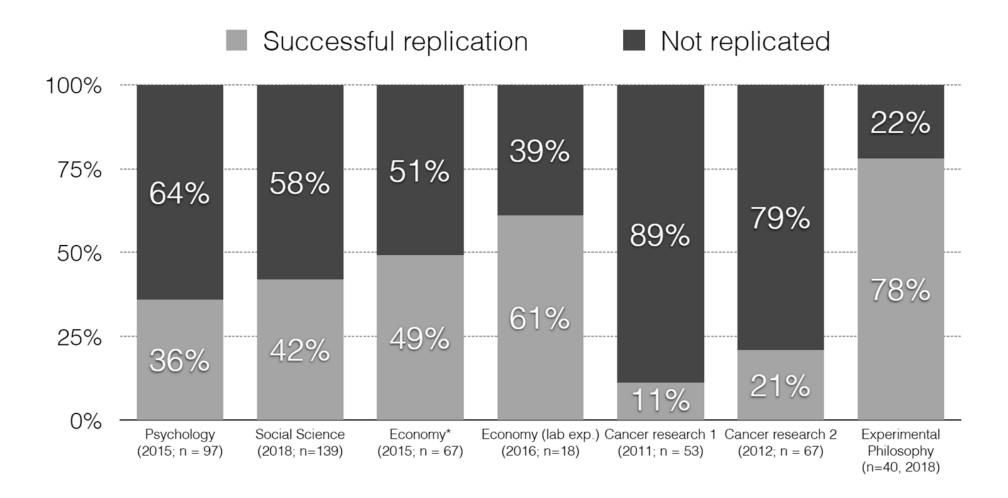
Confirmatory vs exploratory research







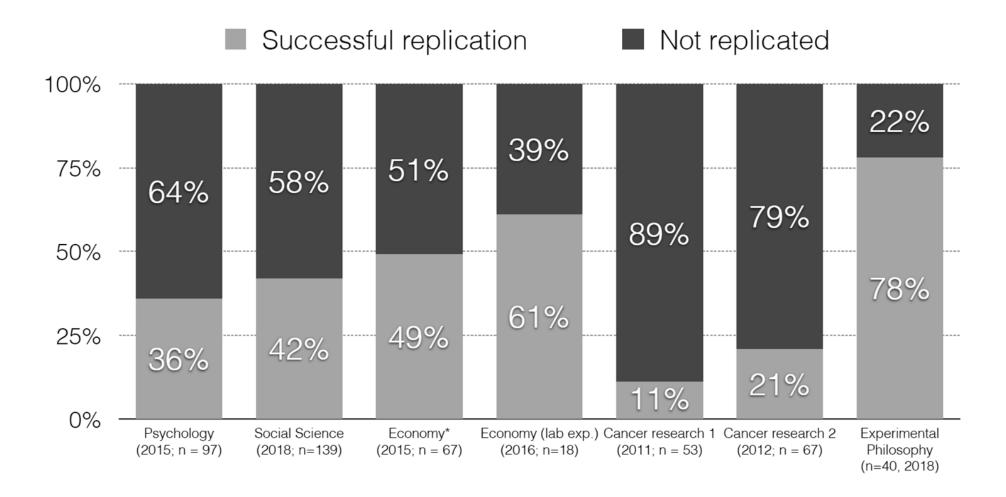
Replication crisis?



Open Science Collaboration (2015); Social Science: Combined sample of systematically sampled projects (RPP, SSRP, EERP); Chang & Li (2015); Camerer et al (2016); Begley, C. G., & Ellis, L. M. (2012). Prinz, F., Schlange, T., & Asadullah, K. (2011); Cova et al. (2018)

Replication is obtaining similar results with new data

Reproducibility is obtaining identical results with the same data



Open Science Collaboration (2015); Social Science: Combined sample of systematically sampled projects (RPP, SSRP, EERP); Chang & Li (2015); Camerer et al (2016); Begley, C. G., & Ellis, L. M. (2012). Prinz, F., Schlange, T., & Asadullah, K. (2011); Cova et al. (2018)

Preregistration





ClinicalTrials.gov

Home > Submit Studies > Why Should I Register and Submit Results?

SUBMIT STUDIES	Do you or someone you know want to participate in a clinical study? See information for patients and families.
Submit Studies to ClinicalTrials.gov PRS	Why Should I Register and Submit Results?
Why Should I Register and	
Submit Results?	
FDAAA 801 and the Final	Contents
Rule	 What Is the Purpose of Trial Registration and Results Submission?
How to Apply for a PRS	 Why Do I Need to Register My Trial and Submit Results to ClinicalTrials.gov?
Account	
How to Register Your Study	What Is the Purpose of Trial Registration and Results Submission?
How to Edit Your Study	Registering clinical trials when they begin, providing timely updates, submitting summary results, and making this information publicly available
Record	fulfills a number of purposes and benefits a variety of people.
How to Submit Your Results	



Journal of Clinical Epidemiology 145 (2022) 164-173

Journal of Clinical Epidemiology

ORIGINAL ARTICLE

Clinical trial registration was associated with lower risk of bias compared with non-registered trials among trials included in systematic reviews

Kristina Lindsley^{a,b,*}, Nicole Fusco^c, Tianjing Li^d, Rob Scholten^{a,b}, Lotty Hooft^{a,b}

^a Julius Center for Health Sciences and Primary Care, University Medical Center Utrecht, Utrecht University, Utrecht, The Netherlands ^b Cochrane Netherlands, University Medical Center Utrecht, Utrecht University, Utrecht, The Netherlands ^c Xcenda, LLC, Boston, MA ^d Department of Ophthalmology, School of Medicine, University of Colorado Anschutz Medical Campus, Aurora, CO

Accepted 18 January 2022; Available online 23 January 2022

Preregistration



What is preregistration?

The specification of a research design, hypotheses, and/or analysis plan prior to observing the outcomes of a study.

Typically takes a form of a time-stamped, frozen document made available on an online platform.

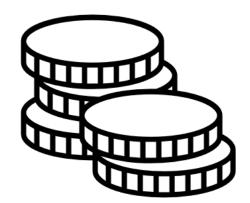
What do I need to preregister?



Research questions and hypotheses Study design and data collection methods

Data preprocessing and analysis plan

A (very) simple example



Hypothesis: The coin is fair. (When throwing a coin multiple times, we will observe equal number of heads and tails).

Methods: We will throw a coin 100 times and register the outcome (head or tail) each time after it falls on the floor. Data collection will stop after N = 100.

Data preprocessing: Head outcomes will be labeled as "1" and tails outcomes will be labeled as "0" in the spreadsheet.

Data analysis: We will perform a t-test on the data against chance level (0.5).

Real-life example: quantitative

Voice onset time in Norwegian infant-directed speech over development

Preregistered on Open Science Framework: <u>https://osf.io/5nwxu</u>

Real-life example: qualitative

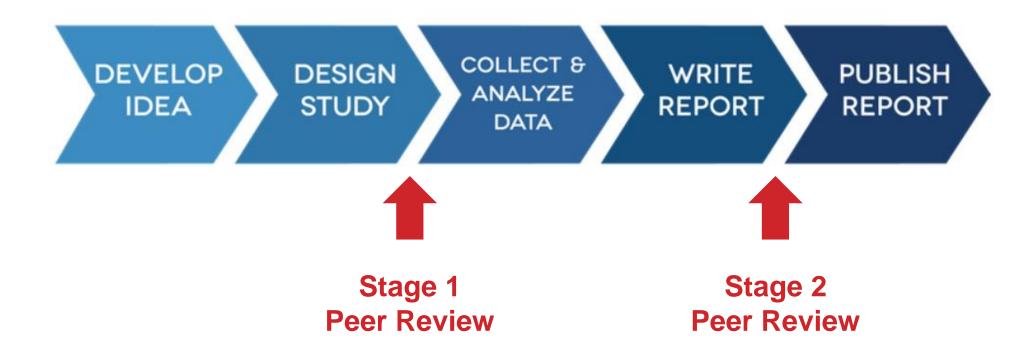
Exploring Loneliness and Social Isolation in Emerging Adulthood

Preregistered on Open Science Framework: https://osf.io/6cq8h

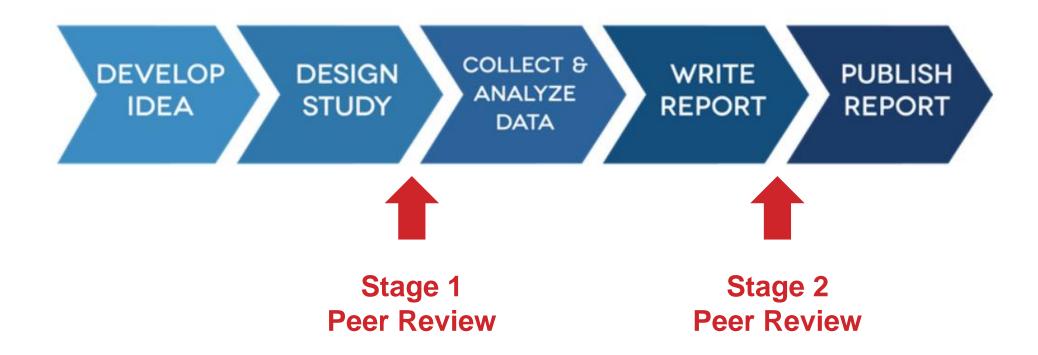




Preregister and time-stamp on an online platform (no peer review)

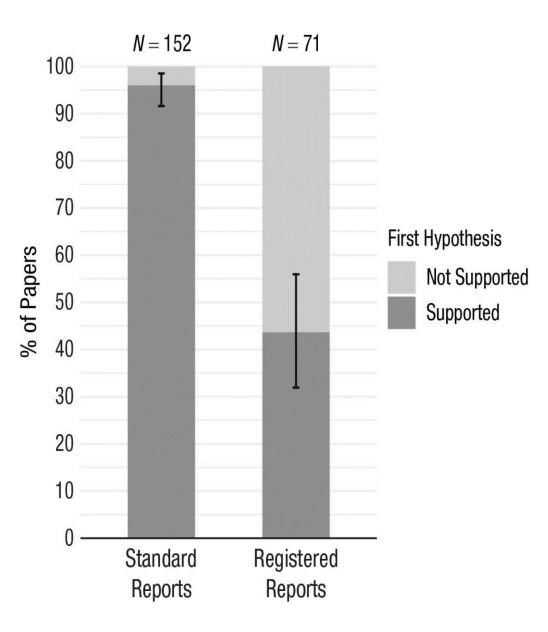


https://www.cos.io/initiatives/registered-reports

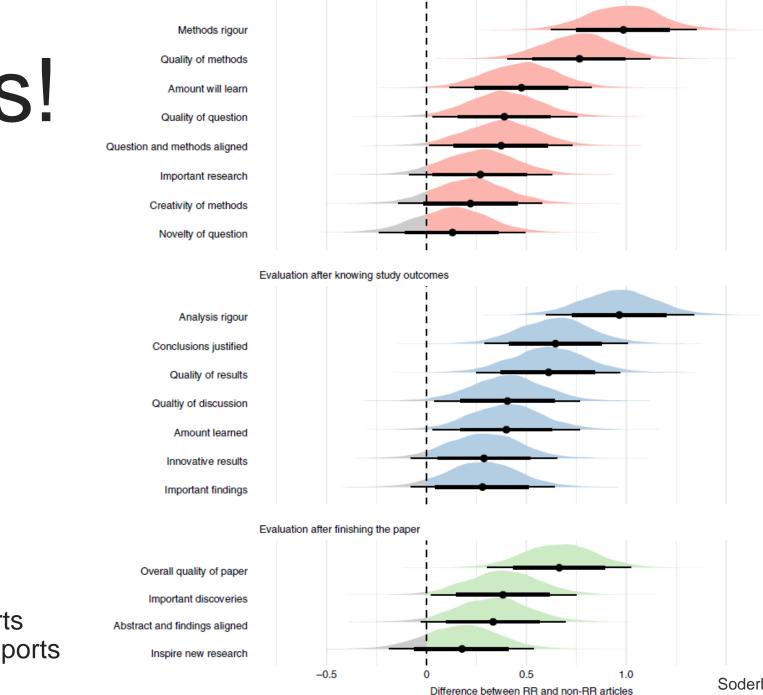


Currently, over **300** scientific journals use the Registered Reports publishing format either as a **regular submission option** or as part of a single **special issue**.

It works!



Evaluation before knowing study outcomes



It works!

RR – Registered Reports **non-RR** – Standard Reports

Soderberg et al (2021) NatHumBeh



Kan jeg få støtte?

? Våre programmer

Finn søkerorganisasjon

Prosjektbibliotek Søk Q

Hjem > Themes > Registrert rapport

Tema: Registrert rapport

Her kan du lese mer om Stiftelsen Dams satsning på

registert rapport.

https://dam.no/tema/rr/

Registered Report: peer-reviewed preregistration (stage 1) and article (stage 2) in a journal

Preregistration: not peer-reviewed research plan, time-stamped on an online platform

Preregistration works ...even when not followed

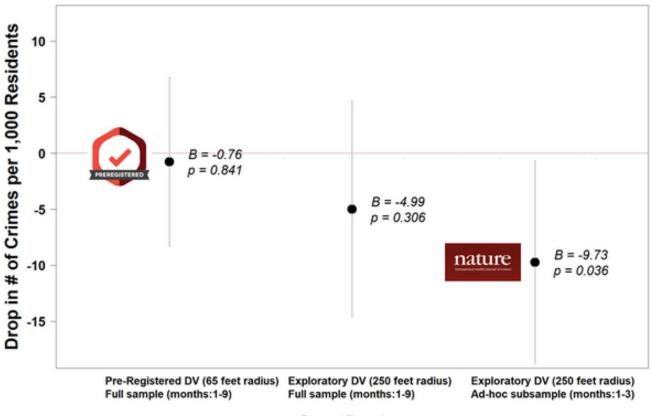
Article Published: 02 March 2022

Knowledge about others reduces one's own sense of anonymity

Anuj K. Shah 🗠 & Michael LaForest

Nature 603, 297–301 (2022) Cite this article 5586 Accesses 1 Citations 181 Altmetric Metrics

- 1) All p-values mentioned are reported in the paper and/or its supplement. The authors did not hide those results.
- 2) There is **nothing wrong** with focusing on different outcomes than those preregistered. These are justifiable decisions.
- 3) What is wrong not ethically, but mathematically is choosing which analyses to report or emphasize based on the results that were obtained, and then taking the resulting pvalues at face value.



Specification

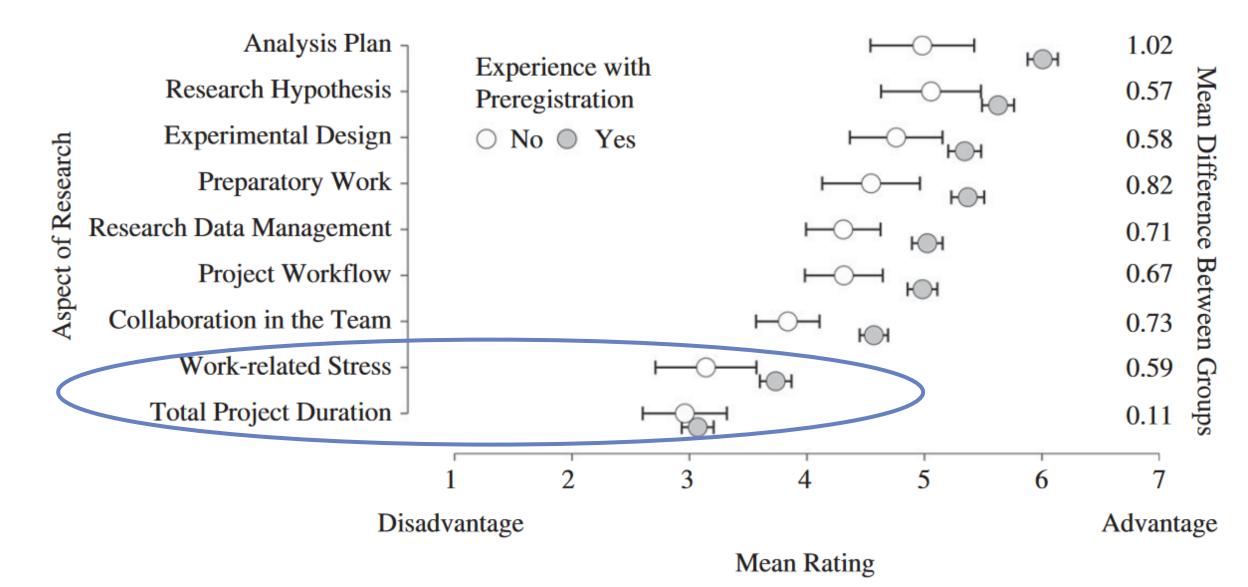
Transparency makes research evaluable

The benefits

- Increased research transparency
- More visibility to null results
- More visibility to research ideas and plans early in the process
- More trust in research studies (through reduced biases)
- Clearer distinction between confirmatory and exploratory research as well as *a priori* and *post hoc* analyses
- Reduced redundancy of research studies?

The selfish benefits

- You will be more transparent about your research and analyses plans (and increase trust in your work)
- You will be encouraged to think more deeply about research design and planned analyses before collecting data
- You can claim early **credit** for your research ideas
- You will increase the **visibility** of your research and odds of getting **published** (despite the negative or "boring" findings)
- You will be more competitive on the academic job market (more and more listings mention scientific transparency and open science practices) and for grant applications



Sarafoglou et al, 2022 https://doi.org/10.1098/rsos.211997

The challenges

- More time required at the planning phase (but less time required at the analysis and writing stage!)
- Higher visibility of errors (e.g., if wrong types of analyses are preregistered)
- Less flexibility (however, changes to preregistration are possible)
- Writing preregistration may improve study design and analyses, but there is **no quality stamp**

The myths

- It prevents exploratory research
- It limits research creativity or flexibility
- It might lead to others scooping my idea and my research plan
- There is no way to decide on data processing and analysis without looking at the data

How to preregister research? A primer

Step 1: Choose the platform and the template

Focus	Type of research	Platform	Template
Discipline-specific	Clinical research	clinicaltrials.gov	Generic
		clinicaltrialsregister.eu	
	Animal research	animalstudyregistry.org	Generic
	Economics/Social sciences	socialscienceregistry.org	Generic
	Systematic reviews in health-related research	www.crd.york.ac.uk/prospero/	Generic
Discipline-general	Basic research	aspredicted.org	Generic
		osf.io/registries	Structured, Unstructured, Qualitative research, Replications, etc.

- Think through your research questions and/or hypotheses, your methods and/or planned statistical analyses
- Be **precise** about your exclusion criteria, stopping rule, handling missing data and outliers
- Try out your methods and planned analyses in a pilot study or on mock data
- Think about possible unexpected scenarios

We are interested in testing group differences.



We hypothesize that groups A and B will differ in condition X based on...



We will exclude inattentive participants.



We will exclude participants who did not pass 2 out of 3 control questions.



We will remove outliers.



We will remove influential observations identified through Cook's Distance analysis.



Step 3: Register and time-stamp!

You can decide whether you want your preregistration to be open to public or closed until the results are published.



Step 4: Changes to preregistration

- Even the best plans might need changes once implemented
- When reporting deviations from the original, preregistered plan, be **explicit** about what has changed
- If needed, you can upload changes to your time-stamped preregistration or preregister a new plan (but refer to the original preregistration and explain why you made the changes)

How to get the most out of preregistration?

Preregistering quantitative vs qualitative studies

Quantitative research: have the right confirmatory analyses been carried out based on original hypotheses?

Qualitative research: have the right data collection and analysis methods been used? Is the interpretation convincing, based on original theoretical framework and planned methodology?

Preregistration will look different depending on scientific discipline or the type of research study.

Choose the right template for your preregistration.

Take a look at **preregistration examples** for similar studies before you write up yours.

Consult your **colleagues** if they have experience with preregistration in your field.



∂ OPEN ACCESS

Preregistering qualitative research

Tamarinde L. Haven (1)^a and Dr. Leonie Van Grootel (1)^b

https://www.tandfonline.com/doi/full/10.1080/08989621.2019.1580147

Preregistration of exploratory research: Learning from the golden age of discovery

Ulrich Dirnagl 🖂

Published: March 26, 2020 • https://doi.org/10.1371/journal.pbio.3000690

https://journals.plos.org/plosbiology/article?id=10.1371/journal.pbio.3000690

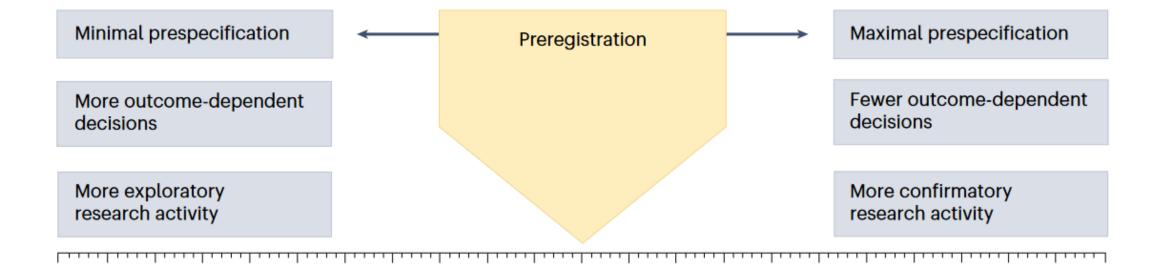
Theoretical-review Articles

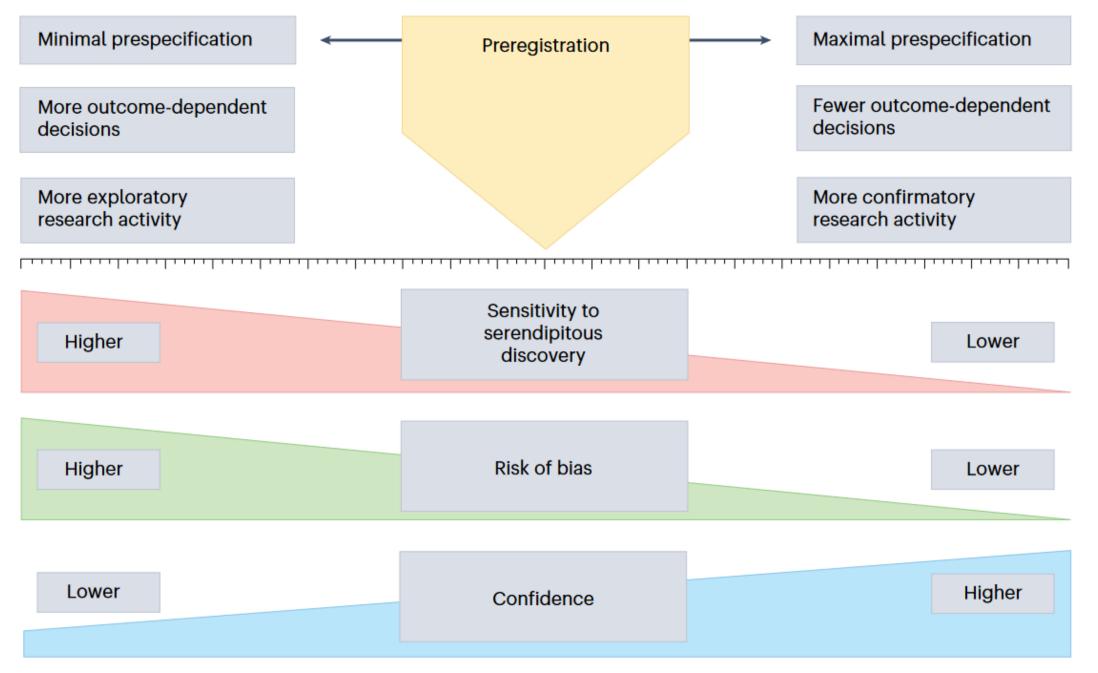
Preregistration of Analyses of Preexisting Data

Authors: Gaëtan Mertens Managelos-Miltiadis Krypotos

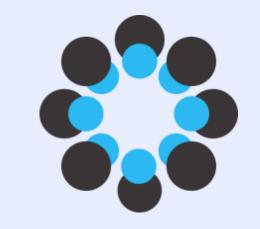
Preregistration is a plan, not a prison.

Remember that you can make changes to the preregistration or report non-preregistered findings, as long as you are explicit about what was planned and what was not planned.



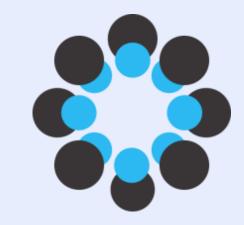


Hardwicke & Wagenmakers (2023)



Let's try it on OSF!

What is OSF?



Free, discipline-general platform that helps researchers:

- manage
- document
- share

their research plans, outputs and workflows





The **open** registries network

Add New Registration

You are submitting to OSF Registries. Click here to learn more about other hosted registries.

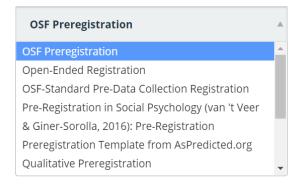
STEP 1

Do you have content for registration in an existing OSF project?

YES NO

STEP 2

Which type of registration would you like to create? *





Go to: <u>https://osf.io/</u>

New registration



Remember:

- Do not edit any files until the registration has completely archived.
- This will be permanent and cannot be deleted once submitted.
- This registration will be copied to Internet Archive as a backup.
- Title and contributors cannot be updated once submitted.

○ Make registration public immediately

○ Enter registration into embargo

bmit	Back

×



Menu

Digital Scholarship Centre

At the Digital Scholarship Centre (DSC) you get guidance on how you can make the best possible use of digital tools and methods in your research and communication activities.

Open Access Information about open access publishing, publisher agreements, self-archiving, requirements, and guidelines.	\rightarrow	Open and reproducible research Make your research more transparent and reproducible.	\rightarrow
Research Data Management Managing your data both during and after a research project.	\rightarrow	Text-mining Information about digital tools for searching, mining, and analysing textual data.	\rightarrow
Systematic search Information about systematic literature searching, how to get started, and how to get help.	\rightarrow	Visualisation Use of visual methods to explore, communicate and understand data.	\rightarrow
Carpentry@UiO Offers workshops in foundational digital skills such as coding and data management.	\rightarrow	Reference management Styles, tools, and information on reference management.	\rightarrow



Menu

← Libraries and centres ← Digital Scholarship Center

<u>Norwegian</u> version of this page

Open and reproducible research

Learn about how to make your research more open and reproducible and get involved in initiatives and communities that are interested in sharing and improving research at UiO.

workshop-bilder

Research methods

Open research

More and more researchers and students across disciplines are implementing open research practices, preregistering their hypotheses, methods, and analysis plans and sharing research materials, data and analysis scripts. Digital Scholarship Center can help you learn about and implement these practices in your own research as well as advise on the policies and requirements from funders.

Open Science Lunch ReproducibiliTea@UiO \rightarrow \rightarrow Every last Thursday of the month we meet at noon to discuss Join us for a Journal Club where we read and discuss papers topics related to open research. on open research and meta-science. Norwegian Reproducibility Network Courses and workshops \rightarrow \rightarrow Join a broader community that aims to promote and enable Click here for the list of upcoming and previous courses and rigorous, robust and transparent research practices in Norway workshops on open and reproducible research at UiO.



Open Science Lunch

Each last Thursday of the month at 12:00 we invite you to join us for a lunch seminar to hear about how to make your research more open. We will discuss research transparency and visibility, open publishing, data sharing, and more!

Upcoming

Time and place: Apr. 25, 2024 12:00 $\mathsf{PM}-1{:}00$ $\mathsf{PM},$ Zoom

Researcher Assessment

Join us for this Open Science Lunch to learn about the work on reforming research assessment in Norway and hear about experiences from implementing the CoARA commitments.

UNIVERSITETET I OSLO

ReproducibiliTea

Journal Club

JOIN IN AND DISCUSS WITH FELLOW STUDENTS AND RESEARCHERS

OPEN RESEARCH, REPRODUCIBILITY and RESEARCH IMPROVEMENT



Join us

Everyone is welcome to join us - whether you are an enthusiast of open and reproducible research, a skeptic, or a cautious explorer. Currently, all meetings are hybrid with the possibility of joining on-site at Blindern or via Zoom. Grab a cup of tea (coffee?) and join us!

Subscribe to our mailing list

 \rightarrow

Det senteret for digitalforskerstøttes nyhetsbrev, en del av Universitetsbiblioteket i Oslo The Digital Scholarship Centre's Newsletter, part of the University of Oslo Library

DSC NEWS

Senter for digitalforskerstøtte Digital Scholarship Centre



https://sympa.uio.no/ub.uio.no/subscribe/dsc-news/subscribe

Thank you!

- Choose the right template for your preregistration.
- Take a look at preregistration examples for similar studies before you write up yours and consult your colleagues
- Remember that you can make changes to the preregistration or report non-preregistered findings, as long as you are explicit about what was planned and what was not planned.

Agata Bochynska, PhD Open Research and Digital Scholarship Center University of Oslo Library @AgataBochynska agata.bochynska@ub.uio.no



References

Carney DR, Cuddy AJC, Yap AJ. Power Posing: Brief Nonverbal Displays Affect Neuroendocrine Levels and Risk Tolerance. Psychological Science. 2010;21(10):1363-1368. doi:10.1177/0956797610383437

Devezer B., Navarro D. J., Vandekerckhove J. and Ozge B. E.(2021). The case for formal methodology in scientific reform. Royal Society Open Science. https://doi.org/10.1098/rsos.200805

Fanelli, D. (2010). Positive' results increase down the hierarchy of the sciences. PLOS One, 5. https://doi.org/10.1371/journal.pone.0010068

Hardwicke T.E., Ioannidis J.P.A. (2018). Mapping the universe of registered reports. Nature Human Behavior, 2, 793–796. https://doi.org/10.1038/s41562-018-0444-y

Ioannidis, J.P.A. (2005). Why Most Published Research Findings Are False. PLOS Medicine 2(8): e124. https://doi.org/10.1371/journal.pmed.0020124

Ioannidis, J.P.A. (2022). Pre-registration of mathematical models. *Mathematical Biosciences*, 345. <u>https://doi.org/10.1016/j.mbs.2022.108782</u>

Kathawalla, U.-K., Silverstein, P., & Syed, M. (2021). Easing Into Open Science: A Guide for Graduate Students and Their Advisors. Collabra: Psychology, 7(1), 18684. https://doi.org/10.1525/collabra.18684

Kerr N. (1998). HARKing: hypnothesizing after the results are known. Personality and Social Psychology Review, 2, 196–217. https://doi.org/10.1207/s15327957pspr0203 4

Lindsley, K., Fusco, N., Li, T., Scholten,, R. Hooft, L. (2022). Clinical trial registration was associated with lower risk of bias compared with non-registered trials among trials included in systematic reviews. *Journal of Clinical Epidemiology*, 145. <u>https://doi.org/10.1016/i.jclinepi.2022.01.012</u>

Markowetz F. (2015). Five selfish reasons to work reproducibly. Genome Biology, 16, 274. https://doi.org/10.1186/s13059-015-0850-7

Munafò, M., Nosek, B., Bishop, D., Button, K., Chambers, C., Percie du Sert, N., et al. (2017). A manifesto for reproducible science. Nature Human Behavior, 1, 0021. https://doi.org/10.1038/s41562-016-0021

Nosek BA, Beck ED, Campbell L, Flake JK, Hardwicke TE, Mellor DT, van 't Veer AE, Vazire S. Preregistration Is Hard, And Worthwhile. Trends Cogn Sci. 2019 Oct;23(10):815-818. <u>https://doi.org/10.1016/j.tics.2019.07.009</u> Nosek, B., Ebersole, C.R., DeHaven, A.C., Mellor, D.T. (2018). The preregistration revolution, *PNAS*, 201708274. <u>https://doi.org/10.1073/pnas.1708274114</u>

Nosek, B., & Lindsay, S. (2018). Preregistration Becoming the Norm in Psychological Science. *APS Observer*, 31. <u>https://www.psychologicalscience.org/observer/preregistration-becoming-the-norm-in-psychological-science</u> Nuzzo R. (2015). How scientists fool themselves–and how they can stop. *Nature*; 526: 182–185. <u>https://doi.org/10.1038/526182a</u>

Rosenthal, R. (1979). The file drawer problem and tolerance for null results. Psychological Bulletin, 86(3), 638–641. https://doi.org/10.1037/0033-2909.86.3.638

Sarafoglou, A. (2021). Confirmatory versus Exploratory Analysis. SIOS Lecture. https://osf.io/gnkvh/

Scheel A.M., Schijen M., Lakens D. (2021) An Excess of Positive Results: Comparing the Standard Psychology Literature With Registered Reports. Advances in Methods and Practices in Psychological Science. https://doi.org/10.1177/25152459211007467

Schönbrod, F. (2021). An introduction to Open Science. Doing transparent, credible and efficient research. https://osf.io/vza25/

Soderberg, C.K., Errington, T.M., Schiavone, S.R. *et al.* Initial evidence of research quality of registered reports compared with the standard publishing model. *Nat Hum Behav* 5, 990–997 (2021). <u>https://doi.org/10.1038/s41562-021-01142-4</u>

Szollosi A, Donkin C. Arrested Theory Development: The Misguided Distinction Between Exploratory and Confirmatory Research. *Perspectives on Psychological Science*. 2021;16(4):717-724. doi:10.1177/1745691620966796 Szollosi, A., Kellen, D., Navarro, D. J., Shiffrin, R., van Rooij, I., Van Zandt, T., & Donkin, C. (2020). Is preregistration worthwhile? *Trends in Cognitive Sciences*, *24*(2), 94–95. <u>https://doi.org/10.1016/j.tics.2019.11.009</u> Wagenmakers, E.J., Dutilh, G. (2016). Seven Selfish Reasons for Preregistration. *APS Observer*, *29* <u>https://www.psychologicalscience.org/observer/seven-selfish-reasons-for-preregistration</u> Warren, M. (2018). First analysis of 'pre-registered' studies shows sharp rise in null findings. *Nature*. <u>https://doi.org/10.1038/d41586-018-07118-1</u>